

# Complexity and Coding Theory of Hilbert Spaces<sup>1</sup>

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# Complexity and Coding Theory of Hilbert Spaces

## Fact

Every infinite-dimensional separable Hilbert spaces are isomorphic.

## Example

$\mathcal{L}^2$  is the space of square-integrable functions  $f : [0, 1] \rightarrow \mathbb{C}$  equipped with the inner product

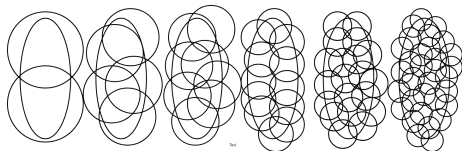
$$\langle f, g \rangle := \int_0^1 f(x) \overline{g(x)} dx.$$

## Example

$\{e^{2\pi kix}\}_{k \in \mathbb{Z}}$  is an orthonormal basis of  $\mathcal{L}^2$ .

## Definition

$\eta : \mathbb{N} \rightarrow \mathbb{N}$  is the **entropy** of space  $X$  if for every  $n \in \mathbb{N}$ ,  $X$  can be covered by  $2^{\eta(n)}$  closed balls of radius  $2^{-n}$ , but not by  $2^{\eta(n)-1}$  closed balls.



## Example

The unit interval  $[0, 1]$  has the entropy  $\eta(n) = n$ .

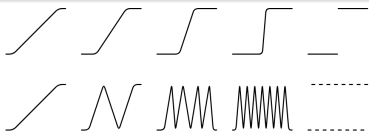
## Example (Steinberg, 2017)

$\text{Lip}_1([0, 1], [0, 1])$  has the entropy  $\eta(n) = 2^{\mathcal{O}(n)}$ .

## Definition

$\mu : \mathbb{N} \rightarrow \mathbb{N}$  is a **modulus of continuity** of  $f : (X, d) \rightarrow (Y, e)$  if

$$\forall n \in \mathbb{N} \quad \forall a, b \in X \quad d(a, b) \leq 2^{-\mu(n)} \text{ implies } e(f(a), f(b)) \leq 2^{-n}$$



Dyadic Representation:  $\{0, 1\}^\omega \rightarrow [0, 1]$

$$\text{bin}(a_0)\text{bin}(a_1)\text{bin}(a_2) \cdots \mapsto \lim_{n \rightarrow \infty} \frac{a_n}{2^n}$$

Signed Binary Representation:  $\{0, 1\}^\omega \rightarrow [0, 1]$

$$\text{bin}(a_0)\text{bin}(a_1)\text{bin}(a_2) \cdots \mapsto \sum_{n=0}^{\infty} \frac{a_n}{2^n}$$

$$a_i \in \{-1, 0, 1\}$$

Dyadic Representation:  $\{0, 1\}^\omega \rightarrow [0, 1]$  ; modulus  $\Theta(n^2)$

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Signed Binary Representation:  $\{0, 1\}^\omega \rightarrow [0, 1]$  ; modulus  $\Theta(n)$

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$$a_i \in \{-1, 0, 1\}$$

### Steinberg's Lemma (2016)

For a surjection  $f : X \rightarrow Y$  and its modulus  $\mu$ ,

$$\forall n \quad \eta_X(n) \leq \eta_Y(\mu(n))$$

where  $\eta_X$  and  $\eta_Y$  being the entropy of  $X$  and  $Y$ , respectively.

- Sometimes we want to find  $f$  with (asymptotically) small  $\mu$ .
- This lemma establishes a lower bound of  $\mu$ .

## Weihrauch-style Representation

A partial surjection  $\{0, 1\}^\omega \twoheadrightarrow X$

## Kawamura-style Representation (2012)

A partial surjection  $(\{0, 1\}^* \rightarrow \{0, 1\}^*) \twoheadrightarrow X$

## Our-style Representation

A partial surjection  $(\{0, 1\}^* \rightarrow \{0, 1\}) \twoheadrightarrow X$  with metric  $d$  on domain

$$d(\psi, \varphi) := 2^{-\min\{|w| : \psi(w) \neq \varphi(w)\}}$$

- $\psi$  and  $\varphi$  are close iff they agree on all  $w \in \{0, 1\}^*$  up to some length.

## Standard Representation of $\text{Lip}_1([0, 1], [0, 1])$

$$(\{0, 1\}^* \rightarrow \{0, 1\}) \rightsquigarrow \text{Lip}_1([0, 1], [0, 1])$$

$\varphi \mapsto f$  iff  $\varphi(0^n 1^j 0 \text{bin}(A)) = j$ th bit in the binary encoding of  $f(A/2^n)$  approximated to precision  $2^{-n}$

- $\text{Lip}_1([0, 1], [0, 1])$  is compact according to Arzela-Ascoli
- The standard representation has a linear modulus.
- $n$  and  $j$  are encoded in unary and  $A$  is encoded in binary.
- This modulus is (asymptotically) optimal by Steinberg's lemma since both  $(\{0, 1\}^* \rightarrow \{0, 1\})$  and  $\text{Lip}_1([0, 1], [0, 1])$  have exponential entropy.



## Standard Representation of $\text{Lip}_1([0, 1], [0, 1])$

$$(\{0, 1\}^* \rightarrow \{0, 1\}) \twoheadrightarrow \text{Lip}_1([0, 1], [0, 1])$$

$\varphi \mapsto f$  iff  $\varphi(0^n 1^j 0 \text{bin}(A)) = j$ th bit in the binary encoding of  $f(A/2^n)$  approximated to precision  $2^{-n}$

- Use of  $(\{0, 1\}^* \rightarrow \{0, 1\})$  instead of  $\{0, 1\}^* \rightarrow \{0, 1\}^*$  eliminates the need for second-order complexity.
- Application functional  $(f, x) \mapsto f(x)$  is polytime computable with respect to standard representation. (Kawamura, 2012)
- A representation  $\delta$  of  $\text{Lip}_1([0, 1], [0, 1])$  is polytime reducible to standard representation iff it makes application functional polytime computable. (Kawamura, 2012)

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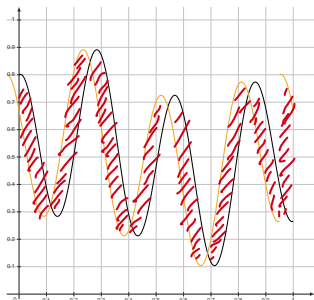
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## Definition

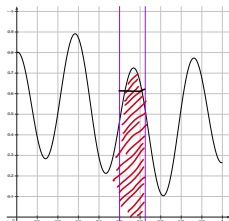
$\mathcal{L}_1^2$  is the space of functions  $f : [0, 1] \rightarrow \mathbb{C}$  such that

$$\|f\| \leq 1 \quad \wedge \quad \forall \epsilon > 0 \quad \|\tau_\epsilon f - f\| \leq \epsilon$$

where  $\|f\|$  is the norm  $\|f\| := \sqrt{\int_0^1 f(x)\overline{f(x)}dx}$   
and  $\tau$  is the cyclic shift  $(\tau_\epsilon f)(x) := f(x + \epsilon \bmod 1)$ .



- $\mathcal{L}_1^2$  is compact according to Fréchet-Kolmogorov

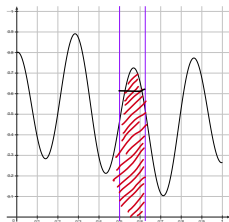


## Piecewise Representation of $\mathcal{L}_1^2$

$$(\{0, 1\}^* \rightarrow \{0, 1\}) \rightarrow \mathcal{L}_1^2$$

$\varphi \mapsto f$  iff  $\varphi(0^n 1^j 0 \text{bin}(A)) = j$ th bit in the binary encoding of  $2^n \cdot \int_{A/2^n}^{(A+1)/2^n} f$  approximated up to precision  $2^{-n}$

- Similar representations have been used for computability investigations.
- $\mathcal{L}_1^2$  has entropy  $\eta(n) = 2^{\mathcal{O}(n)}$  (Steinberg, 2016)
- $(\{0, 1\}^* \rightarrow \{0, 1\})$  has entropy  $\eta(n) = 2^{\mathcal{O}(n)}$ .
- Piecewise representation has linear modulus and this is optimal.



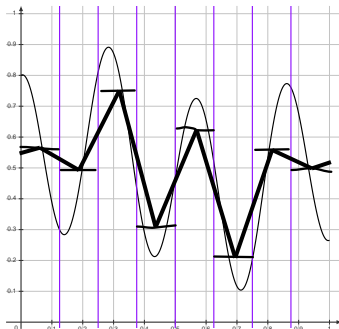
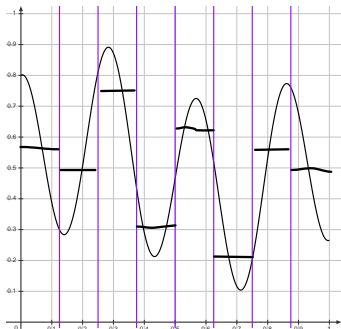
## Theorem

Under piecewise representation, the functional  $f \mapsto \int_0^1 f$  is not polytime but exptime computable.

**Proof.** Because exponentially many intervals cannot be accessed in polytime. (Made formal by perturbation argument.)

## Conjecture

Under piecewise representation, the pointwise operator  $(f, g) \mapsto \sqrt{f \cdot g}$  is polytime computable.



- Let  $f \in \mathcal{L}_1^2$ .
- For each interval, we can calculate the average by integration.
- Not closed under piecewise constant approximation  $f \in \mathcal{L}_1^2 \not\Rightarrow \tilde{f} \in \mathcal{L}_1^2$ .
- Closed under piecewise *linear* approximation  $f \in \mathcal{L}_1^2 \Rightarrow \hat{f} \in \mathcal{L}_1^2$ .

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## Definition

$\ell_1^2$  is the space of sequences  $(z_K)_{K \in \mathbb{Z}} \subseteq \mathbb{C}$  such that

$$\sqrt{\sum_K |z_K|^2} \leq 1 \quad \wedge \quad \sqrt{\sum_K |2\pi i K z_K|^2} \leq 1.$$

## Lemma

$\ell_1^2$  is isometric to  $\mathcal{L}_1^2$ .

**Proof.** By Parseval's identity and some additional arguments.

## Fourier Coefficient Representation

$$(\{0, 1\}^* \rightarrow \{0, 1\}) \rightarrow \ell_1^2$$

$\varphi \mapsto (z_K)_{K \in \mathbb{Z}}$  iff  $\varphi(0^n 1^j 0 \text{bin}(K)) = j$ th bit in the binary encoding of  $z_K$  approximated up to precision  $2^{-n}$

- Since  $\ell_1^2$  can be isometrically identified with  $\mathcal{L}_1^2$ , a representation of  $\ell_1^2$  is also a representation of  $\mathcal{L}_1^2$  and vice versa.



## Fourier Coefficient Representation

$$(\{0, 1\}^* \rightarrow \{0, 1\}) \rightarrow \ell_1^2$$

$\varphi \mapsto (z_K)_{K \in \mathbb{Z}}$  iff  $\varphi(0^n 1^j 0 \text{bin}(K)) = j$ th bit in the binary encoding of  $z_K$  approximated up to precision  $2^{-n}$

- $n$  and  $j$  encoded in unary;  $K$  encoded in binary
- Fourier coefficient representation has linear modulus and this is optimal by Steinberg's lemma.

## Observation

In Fourier representation, the functional  $f \mapsto \int_0^1 f$  is polytime computable by directly reading it off from the encoding.

## A Characterization of Fourier Coefficient Representation

A representation  $\delta$  is polytime reducible to Fourier coefficient representation iff  $\delta$  makes polytime computable the functional  $(f, \text{bin}(K)) \mapsto K$ -th Fourier coefficient.

## Fourier Coefficient Representation

$$(\{0, 1\}^* \rightarrow \{0, 1\}) \twoheadrightarrow \ell_1^2$$

$\varphi \mapsto (z_K)_{K \in \mathbb{Z}}$  iff  $\varphi(0^n 1^j 0 \text{bin}(K)) = j$ th bit in the binary encoding of  $z_K$  approximated up to precision  $2^{-n}$

## Conjecture

The functional  $f \mapsto \int_0^{1/3} f$  is not polytime but exptime computable under Fourier coefficient representation.

## Conjecture

The functional  $(f, g) \mapsto \int_0^1 f(x) \overline{g(x)} dx$ , the 0th Fourier coefficient of  $f \cdot g$ , is not polytime computable but exptime computable under Fourier coefficient representation. (Can be computed by convolution)

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## Piecewise Representation of $\mathcal{L}_1^2$

$$(\{0, 1\}^* \rightarrow \{0, 1\}) \rightarrow \mathcal{L}_1^2$$

$\varphi \mapsto f$  iff  $\varphi(0^n 1^j 0 \text{bin}(A)) = j$ th bit in the binary encoding of  $2^n \cdot \int_{A/2^n}^{(A+1)/2^n} f$  approximated up to precision  $2^{-n}$

## Fourier Coefficient Representation

$$(\{0, 1\}^* \rightarrow \{0, 1\}) \rightarrow \ell_1^2$$

$\varphi \mapsto (z_K)_{K \in \mathbb{Z}}$  iff  $\varphi(0^n 1^j 0 \text{bin}(K)) = j$ th bit in the binary encoding of  $z_K$  approximated up to precision  $2^{-n}$

## Conjecture

	Piecewise Repr	Fourier Coef Repr
$f \mapsto \int_0^1 f$	Not polytime	Polytime
$(f, \text{unary}(n)) \mapsto 2^n \cdot \int_0^{2^{-n}} f$	Polytime	Not polytime

- Therefore the two representations are not polytime convertible.

## Summary

- $\mathcal{L}_1^2$  and piecewise representation.
- $\ell_1^2$  and Fourier Coefficient representation.
- The two are not polytime equivalent.
- One needs to pick one depending on the purpose.

## Future Work

- With respect to the basis  $\{e^{2\pi kix}\}_{k \in \mathbb{Z}}$  of  $\mathcal{L}_1^2$ , we get Fourier coefficients.
- What if we use another orthonormal basis?
- Is there a functional that characterizes the piecewise representation?
- Combining representations makes more functionals polytime computable, but may destroy closure under operations.