

# The equational theory of the Weihrauch lattice with product and composition

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joint work with Eike Neumann and Arno Pauly

CCA 2024, Swansea

## Errata (27/08/24)

- $a \times (b \sqcap c) \leq a \times (b \sqcap (a \times c))$  is actually derivable
- For  $(\mathfrak{W}, \sqcap, \times, 1)$ , the proposed theory is complete iff the pointed one is
- Cycles in  $\sqsupset$  may actually have exponential size, so the “Corollary” did not follow from the conjecture as expected on slide 13.

Relevant items are striked out.

Most of the conjectures of part 2 now have proofs on arXiv.

<https://arxiv.org/abs/2403.13975>

<https://arxiv.org/abs/2408.14999>

# Weihrauch problems

## Definition

A Weihrauch problem  $P$  is given

- a set of instances  $\text{dom}(P) \subseteq \mathbb{N}^{\mathbb{N}}$
- for each  $i \in \text{dom}(P)$  a non-empty set of solutions  $P_i \subseteq \mathbb{N}^{\mathbb{N}}$

Examples:

- $C_{\mathbb{N}}$ : “Given  $p \in \mathbb{N}^{\mathbb{N}}$ , find something not enumerated by  $p$ ”

$$\text{dom}(C_{\mathbb{N}}) = \{p \in \mathbb{N}^{\mathbb{N}} \mid \exists n \ n \notin \text{range}(p)\} \quad C_{\mathbb{N}}(p) = \{1^n 0^\omega \mid n \notin \text{range}(p)\}$$

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Comparing the hardness of problems  $\rightsquigarrow$  via a notion of reducibility

# Weihrauch reducibility

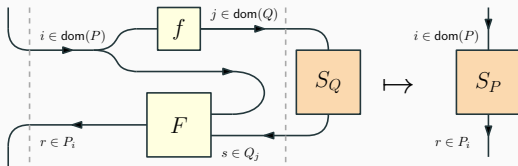
TL;DR: Turing reducibility, but

- adapted to type 2 computability
- reductions must make **exactly** one oracle call

## Official definition

$P \leq_W Q$  if there are **computable**

$$f : \text{dom}(P) \rightarrow \text{dom}(Q) \quad \text{and} \quad F : \prod_{i \in \text{dom}(P)} (Q_{f(i)} \rightarrow P_i)$$



Reductions compose + Quotienting by  $\equiv_W \rightsquigarrow$  **Weihrauch degrees**

## Some operation on the Weihrauch degrees

Many natural operators over Weihrauch problems/degrees:

- Joins  $\sqcup$ : “solve either one of the problems”

$$\begin{aligned} \text{dom}(P \sqcup Q) &\cong \text{dom}(P) + \text{dom}(Q) & (P \sqcup Q)_{\text{in}_1(i)} &= P_i \\ & & (P \sqcup Q)_{\text{in}_2(j)} &= Q_j \end{aligned}$$

- Meets  $\sqcap$ : “given inputs for both, solve one”

$$\text{dom}(P \sqcap Q) \cong \text{dom}(P) \times \text{dom}(Q) \quad (P \sqcap Q)_{i,j} = P_i + Q_j$$

- Products  $\times$ : “solve both problems”

$$\text{dom}(P \times Q) \cong \text{dom}(P) \times \text{dom}(Q) \quad (P \times Q)_{i,j} = P_i \times Q_j$$

- 1: “there is an instance, everything is a solution”
- ...

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## Driving question

Can we extend this to additional operations? In particular:

- Can we axiomatize equation in those extensions?
- What is the complexity of deciding universal validity of  $t \leq u$ ?

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Known axioms investigated in “On the algebraic structure of Weihrauch degrees”, Brattka & Pauly, LMCS 2018

$\sqcap, 1, \times, \sqcup$  **and**  $(-)^*$  (preprint on arXiv)

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## A partial axiomatization of $(\mathfrak{W}, \sqcap, \times, 1)$

|  |                             |
|--|-----------------------------|
| $a \leq b \Rightarrow a \times c \leq b \times c$                    | monotonicity                |
| $a \times (b \times c) = (a \times b) \times c \quad a \times 1 = a$ | monoid structure            |
| $a \times b = b \times a$  | commutativity               |
| $a \leq a \times a$  | relevance                   |
| $a \sqcap b \leq a \quad a \sqcap b \leq b$                          | $\sqcap$ is a lower bound   |
| $a \leq b \wedge a \leq c \Rightarrow a \leq b \sqcap c$             | $\sqcap$ is the greatest lb |
| $(a \times b) \sqcap c \leq a \times (b \sqcap c)$                   | half-distributivity         |

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### Open question

Adding  $1 \leq a \Rightarrow$  complete axiomatization in the **pointed** degrees?

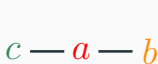
# Terms as graphs

## Goal to make things more tractable

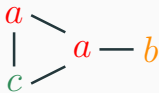
Reduce checking  $(\mathfrak{W}, \sqcap, \times) \models t \leq u$  to a combinatorial problem.

First step: interpret terms  $t$  as finite coloured graphs  $G_t$ :

- For a variable  $x$ , take a single vertex coloured by  $x$ .
- For  $\sqcap$ , take the disjoint union
- For  $\times$ , disjoint union + all edges between the two components



$a \times (c \sqcap b)$



$a \times ((a \times c) \sqcap b)$



$(a \sqcap c) \times (b \sqcap d)$



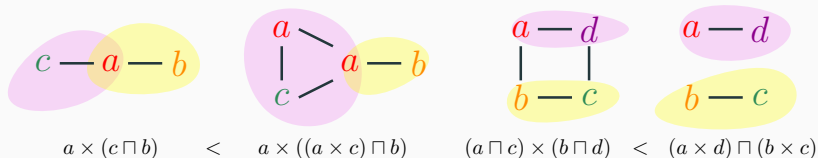
$(a \times d) \sqcap (b \times c)$



# Combinatorial reduction between graphs

## Definition

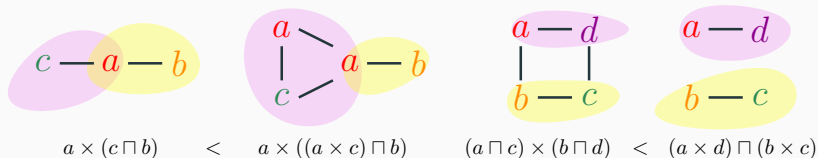
A reduction from  $(V_0, E_0, c_0)$  to  $(V_1, E_1, c_1)$  is a colour-preserving function  $h : V_1 \rightarrow V_0$  such that the image of any maximal clique in  $(V_1, E_1)$  under  $h$  contains a maximal clique in  $(V_0, E_0)$ .



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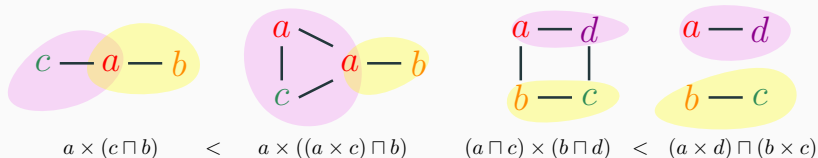
## Combinatorial characterization

$(\mathfrak{W}, \sqcap, \times) \models t \leq u$  iff there is a reduction from  $G_t$  to  $G_u$ .

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## Combinatorial characterization and complexity

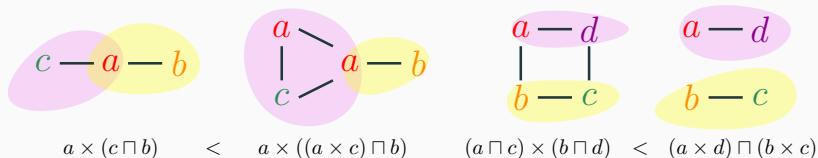
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As a result, deciding  $(\mathfrak{W}, \sqcap, \times) \models t \leq u$  is  $\Sigma_2^p$ -complete.

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## Combinatorial characterization and complexity

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As a result, deciding  $(\mathfrak{W}, \sqcap, \times) \models t \leq u$  is  $\Sigma_2^P$ -complete.

Corollary: same valid inequations as the free  $\sqcup$ - $\sqcap$ -completion of the ordered monoid  $(\mathbb{N}_{>0}, |, 1, \times)$

## Relative completeness

True inequations in  $(\mathfrak{W}, \sqcap, \times)$  + the axioms below derive all true inequations in  $(\mathfrak{W}, \sqcup, \sqcap, \times, 1, (-)^*)$

( $P^*$  is finite parallelization, the lfp of  $X \mapsto 1 \sqcup X \times P$ )

$$\begin{aligned}a &\leq a \sqcup b & b &\leq a \sqcup b \\b \leq a \wedge c \leq a &\Rightarrow b \sqcup c \leq a \\a \sqcap (b \sqcup c) &= a \sqcap b \sqcup a \sqcap c \\a \times (b \sqcup c) &= a \times b \sqcup a \times c\end{aligned}$$

$$\begin{aligned}a &\leq a^* & (a^*)^* &\leq a^* \\a^* \times a^* &\leq a^* \\(a \sqcup b)^* &= a^* \times b^* \\(a \sqcap b)^* &= a^* \sqcap b^* \\(a \times b)^* &= 1 \sqcup a \times a^* \times b \times b^* \\1^* &= 1\end{aligned}$$

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The notion of combinatorial reducibility can be adapted to show that deciding validity of an equation is  $\Pi_3^P$ -complete

$\sqcup, 0, 1, \star, \sqcap$  **and**  $(-)^{\diamond}$  (work in progress)

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# Composition, iterated composition

## Composition $P \star Q$

- Implicitly: ability to make an oracle call to  $Q$  then  $P$
- Explicitly: given an instance  $i$  of  $Q$  and a function that takes a solution of  $i$  to an instance of  $P$ , compute all relevant solutions

$$\text{dom}(P \star Q) \cong \sum_{i \in \text{dom}(Q)} (Q_i \rightarrow \text{dom}(P)) \quad (P \star Q)_{i,f} \cong \sum_{r \in Q_i} P_{f(r)}$$

## Iterated composition $P^\diamond$

- Explicitly: computed as the least fixpoint of  $X \mapsto 1 \sqcup (X \star P)$
- Implicitly: ability to make a finite but not fixed in advance number of oracle calls to  $P$

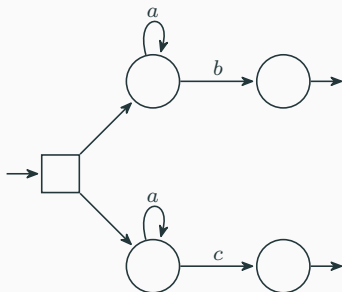


# Terms with composition and automata

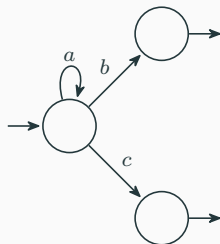
## Starting observation

Terms over  $0, 1, \sqcup, \star, (-)^\diamond =$  can be regarded as regular expressions.  
(alphabet = the set of variables)

- Terms can be mapped to NFAs in a meaningful way
- Adding  $\sqcap =$  allowing alternating automata



$(b \star a^\diamond) \sqcap (c \star a^\diamond)$



$(b \sqcup c) \star a^\diamond$

# Universal validity and games

Given alternating automata  $\mathcal{A}$  and  $\mathcal{B}$ , we can define a game  $\mathfrak{D}(\mathcal{A}, \mathcal{B})$  that captures a notion of simulation such that

## Conjecture

$(\mathfrak{W}, 1, 0, \sqcup, \sqcap, \star, (-)^\diamond) \models t \leq u$  iff Duplicator wins in  $\mathfrak{D}(\mathcal{A}_t, \mathcal{A}_u)$ .

Some properties of  $\mathfrak{D}(\mathcal{A}, \mathcal{B})$ :

- this is a Büchi game
- allows to make several attempts at simulating  $\mathcal{A}$  in parallel  
(using  $\mathcal{B}$  exactly once)

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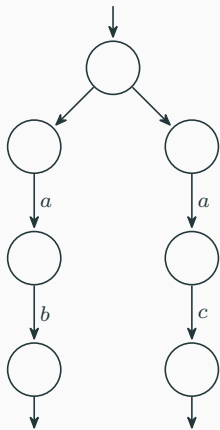
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## Corollary Conjecture

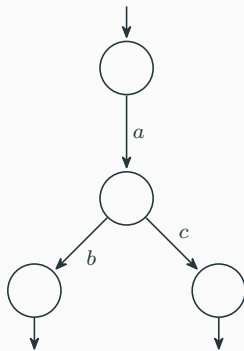
Deciding “ $(\mathfrak{W}, 1, 0, \sqcup, \sqcap, \star, (-)^\diamond) \models t \leq u$ ?” is PSPACE-complete.

# A simple example of simulation and non-simulation



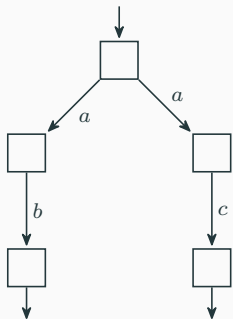
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$<$



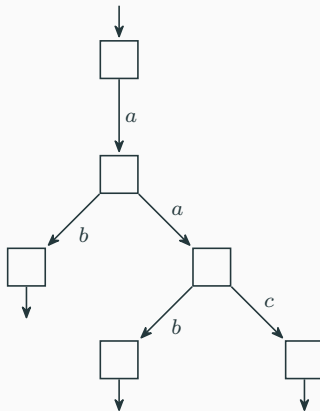
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# A simulation requiring several concurrent attempts



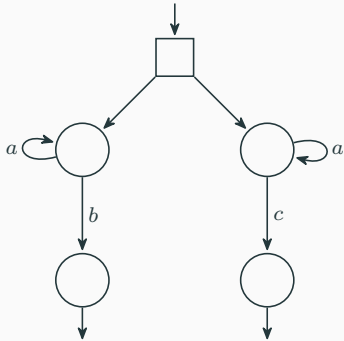
$$(b \star a) \sqcap (c \star a)$$

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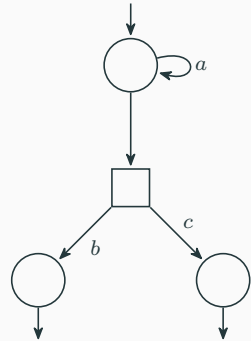
$$(((c \sqcap b) \star a) \sqcap b) \star a$$

# Another simulation requiring several concurrent attempts



$$(b \star a^\diamond) \square (c \star a^\diamond)$$

$\equiv$



$$(b \square c) \star a^\diamond$$

# Induction principles for $(-)^{\diamond}$

## Non-trivial useful axiom for fixpoints (Westrick, 2021)

The following is valid in the Weihrauch degrees

$$x \star x \leq x \quad \Rightarrow \quad x^{\diamond} \leq x$$



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## Conjecture

The above axioms are valid in the Weihrauch degrees.

# Completeness

Candidate axiomatization of inequations in  $(\mathfrak{W}, 0, \sqcup, \sqcap, \star, (-)^\diamond)$

- All the axioms of RKA **minus left-distributivity of  $\sqcup$  over  $\star$**

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- The distributive lattice axioms +

$$\begin{aligned}(x \star y) \sqcap (x \star z) &\leq x \star (y \sqcap z) \\ (x \star y) \sqcap z &\leq (x \sqcap z) \star y \\ (x \star y) \sqcap z \leq x &\Rightarrow (x \star y^\diamond) \sqcap z \leq x\end{aligned}$$

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## Conjecture

The above axiomatization is not only sound but also complete.



# Completeness

Candidate axiomatization of inequations in  $(\mathfrak{W}, 0, \sqcup, \sqcap, \star, (-)^\diamond)$

- All the axioms of RKA **minus left-distributivity of  $\sqcup$  over  $\star$** 
  - i.e. it can be the case that  $(P \sqcup Q) \star R \not\equiv_w (P \star R) \sqcup (Q \star R)$
  - why: RKA = language inclusions, but we want simulations
- The distributive lattice axioms +

$$\begin{aligned}(x \star y) \sqcap (x \star z) &\leq x \star (y \sqcap z) \\ (x \star y) \sqcap z &\leq (x \sqcap z) \star y \\ (x \star y) \sqcap z \leq x &\Rightarrow (x \star y^\diamond) \sqcap z \leq x\end{aligned}$$

## Conjecture

The above axiomatization is not only sound but also complete.

Proof idea:  $\exists$  positional simulation strategies, induction on the syntax

## Thoughts for further work

- Understand  $(\mathfrak{W}, \sqcap, \times, 1)$ !!
- What about the Horn theories?
- Establish a similar connection with higher-dimensional automata/concurrency to handle  $\sqcup$ ,  $\times$  and  $\star$  together?
- Handle the substructure of finitary/first-order/type 1 degrees?
- Can we generalize the proofs turning universal validity into combinatorial characterization for any set of “nice” operations?

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**Thanks for listening! Questions?**