

Constant-depth Approximation of Nowhere-Differentiable Functions

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Boolean Approximation Theory

Proposed by Kolmogorov (ICM 1962)

Approximation by **Boolean circuits** rather than **polynomials**

Focus on **circuit size** rather than **degree**



A.N. Kolmogorov 1903-1987

Boolean Approximation Theory

Work over $[-1, 1]$

Interpret $x = b_0 b_1 \dots b_k \in \{0, 1\}^{k+1}$ as a signed dyadic rational
 $\bar{x} = -1 + b_0.b_1 \dots b_k$

$C_n : \{0, 1\}^{n+1} \rightarrow \{0, 1\}^{m(n)+1}$ is an $\epsilon(n)$ -approximation for
 $f : [-1, 1] \rightarrow [-1, 1]$ if for all $x \in [-1, 1]$ and $z \in \{0, 1\}^{n+1}$,

$$|x - \bar{z}| \leq 2^{-n} \Rightarrow |f(x) - \bar{y}| \leq \epsilon(n)$$

where $y = C_n(z)$.

Successes and Failures

Tight and nearly tight bounds for approximation of **smooth** and **analytic** functions

By entropy arguments, 2^{-n} approximation of smooth functions may require exponential size circuits (matching the upper bound)

- ▶ Constructive argument given by [Asarin 1984]
- ▶ Shannon's (nonconstructive) l.b. for Boolean functions imply Kolmogorov's l.b. [K, Ferée]

Certain analytic functions can be 2^{-n} approximated in size $\tilde{O}(n^2)$ (nearly matching lower bound)

Inverse theorem for analytic functions fails

An Inverse Theorem for Polynomial Approximation

[Bernstein 1912] gives two results for polynomial approximation with exponential convergence:

- ▶ f is analytic on $[-1,1]$,
- ▶ f can be continued analytically to the open Bernstein ellipse E_ρ ,
- ▶ f is bounded on E_ρ ,

$\Rightarrow f$ is $O(\rho^{-n})$ -approximated by a sequence $\{p_n\}$ where $p_n \in P_n$

Inverse theorem: f is $O(\rho^{-n})$ -approximated by $\{q_n\}$ where $q_n \in P_n \Rightarrow f$ can be continued analytically to E_ρ



S.N. Bernstein 1880-1968

Failure for Circuit Size

Kolmogorov: variant of “van der Waerden’s function” can be 2^{-n} approximated by $O(n^2)$ -size circuits

Can we regain an inverse theorem based on other measures of circuit complexity?

[Reif, Tate 1992], [Maciel, Therien 1999] show that certain analytic functions may be approximated by constant-depth threshold circuits

Inverse theorem for circuit depth?

AC^0 and TC^0

AC^0 – constant depth circuits with \wedge , \vee , and \neg gates

TC^0 – add threshold gates

$$Th_{\theta, a_1, \dots, a_n}(x_1, \dots, x_n) = \begin{cases} 1 & \text{if } a_1x_1 + \dots + a_nx_n \geq \theta, \\ 0 & \text{otherwise} \end{cases}$$

where $\theta, a_1 \dots a_n \in \mathbb{Z}$

Takagi's Continuous Nowhere-Differentiable Function

T. Takagi, A simple example of the continuous function without derivative, *Proceedings of the Physico-Mathematical Society of Japan*, ser II, Vol 1. 1903

Takagi's function $\tau : [0, 1] \rightarrow [0, 1]$ may be defined as:

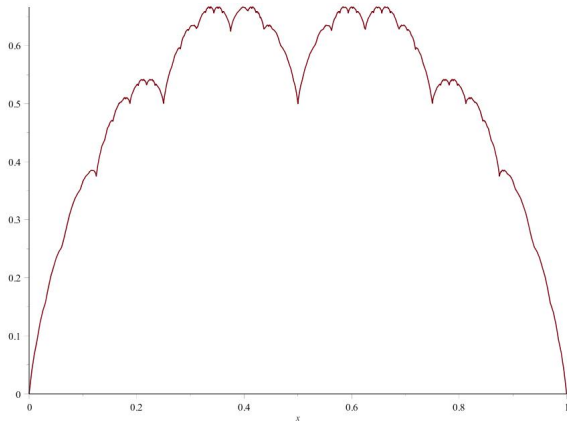
$$\tau(x) = \sum_{k=0}^{\infty} \frac{1}{2^k} \langle\langle 2^k x \rangle\rangle.$$

where $\langle\langle y \rangle\rangle = \text{dist}(y, \mathbb{N})$.



T. Takagi 1875-1960

Takagi's Function



Takagi ("Blancmange") Curve

Takagi's Function



Blancmange

Approximation in TC^0

Suppose $x, \tilde{x} \in [0, 1]$ where $\tilde{x} = \sum_{i=1}^{2n+2} b_i 2^{-i}$ and $|x - \tilde{x}| \leq 2^{-(2n+2)}$.

A simple calculation gives $|\tau(x) - \tau(\tilde{x})| \leq 2^{-n}$.

But ... $\tau(\tilde{x})$ is a $(2n+2)$ -term sum of $(2n+2)$ -bit numbers

Iterated addition $\in \text{TC}^0$ (see, e.g., [Vollmer 1999])

τ can be $2^{-O(n)}$ approximated in TC^0

What about AC^0 ?

Failure of AC^0 -Approximation

If $x = 0.b_1b_2b_3\dots$ and $i \geq 0$,

$$\begin{aligned}\langle\langle 2^i x \rangle\rangle &= \begin{cases} 0.b_{i+1}b_{i+2}\dots & \text{if } b_{i+1} = 0 \\ 0.\bar{b}_{i+1}\bar{b}_{i+2}\dots & \text{if } b_{i+1} = 1 \end{cases} \\ &= 0.(b_{i+1} \oplus b_{i+1})(b_{i+1} \oplus b_{i+2})\dots\end{aligned}$$

and so

$$\frac{1}{2^i} \langle\langle 2^i x \rangle\rangle = 0.\underbrace{00\dots 0}_i (b_{i+1} \oplus b_{i+1})(b_{i+1} \oplus b_{i+2})\dots$$

Failure of AC^0 -Approximation

When $\tilde{x} = 0.b_1b_2 \dots b_n1$, for $0 \leq k < n$,

$$\langle\langle 2^k \tilde{x} \rangle\rangle = \begin{cases} 0.b_{k+1} \dots b_{n-1}b_n100\dots & \text{if } b_{k+1} = 0 \\ 0.\bar{b}_{k+1} \dots \bar{b}_{n-1}\bar{b}_n011\dots = 0.\bar{b}_{k+1} \dots \bar{b}_{n-1}\bar{b}_n100\dots & \text{if } b_{k+1} = 1 \end{cases}$$

So that

$$\begin{array}{rcl} \tau(\tilde{x}) = 0.(b_1 \oplus b_1)(b_1 \oplus b_2) \dots (b_1 \oplus b_n) & 1 \\ + 0. & 0 & (b_2 \oplus b_2) \dots (b_2 \oplus b_n) & 1 \\ + 0. & 0 & 0 & \dots (b_3 \oplus b_n) & 1 \\ & & \dots & & \\ + 0. & 0 & 0 & \dots (b_n \oplus b_n) & 1 \\ + 0. & 0 & 0 & \dots & 0 & 1 \end{array}$$

Failure of AC^0 -Approximation

Letting c denote the n th bit of $\tau(\tilde{x})$, we have

$$b_1 \oplus \cdots \oplus b_n = \begin{cases} c \oplus b_n \oplus 1 & \text{if } n+1 \text{ is even and } \left\lfloor \frac{n+1}{2} \right\rfloor \text{ is odd} \\ c \oplus b_n & \text{if } n+1 \text{ is even and } \left\lfloor \frac{n+1}{2} \right\rfloor \text{ is even} \\ c \oplus 1 & \text{if } n+1 \text{ is odd and } \left\lfloor \frac{n+1}{2} \right\rfloor \text{ is odd} \\ c & \text{if } n+1 \text{ is odd and } \left\lfloor \frac{n+1}{2} \right\rfloor \text{ is even,} \end{cases}$$

τ can be $2^{-(n+1)}$ -approximated in $AC^0 \Rightarrow \text{PARITY} \in AC^0$.

So by [Ajtai 1980], [Furst, Saxe, Sipser 1984], τ cannot be $2^{-O(n)}$ -approximated in AC^0

Nowhere Differentiability in AC^0

Modify Takagi's function:

$$T(x) = \sum_{k=0}^{\infty} \frac{1}{2^{2^k-1}} \langle\langle 2^{2^k-1} x \rangle\rangle.$$

$2^{-O(n)}$ -approximation: sum of $\lceil \log(n+1) \rceil + 2$ numbers of $2n+2$ bits – can be done in AC^0 (\Rightarrow continuous)

Nowhere-differentiability: letting $\varphi_k(x) := \frac{1}{2^{2^k-1}} \langle\langle 2^{2^k-1} x \rangle\rangle$

$$T \text{ differentiable at } x \in [0, 1] \Rightarrow T'(x) = \sum_{k=0}^{\infty} \varphi'_k(x)$$

However, this sum diverges since for all k , $|\varphi'_k(x)| = 1$.

ASIDE: bears a resemblance to a continuous nowhere-differentiable function defined by John McCarthy (1953)!

McCarthy's Function

AN EVERYWHERE CONTINUOUS NOWHERE DIFFERENTIABLE FUNCTION

JOHN MCCARTHY, Princeton University

The following is an especially simple example. It is

$$f(x) = \sum_{n=1}^{\infty} 2^{-n} g(2^n x)$$

where $g(x) = 1 + x$ for $-2 \leq x \leq 0$, $g(x) = 1 - x$ for $0 \leq x \leq 2$ and $g(x)$ has period 4.

The function $f(x)$ is continuous because it is the uniform limit of continuous functions. To show that it is not differentiable, take $\Delta x = \pm 2^{-k}$, choosing whichever sign makes x and $x + \Delta x$ be on the same linear segment of $g(2^n x)$. We have

1. $\Delta g(2^n x) = 0$ for $n > k$, since $g(2^n x)$ has period $4 \cdot 2^{-n}$.
 2. $|\Delta g(2^k x)| = 1$.
 3. $|\Delta \sum_{n=1}^{k-1} 2^{-n} g(2^n x)| \leq (k-1) \max |\Delta g(2^n x)| \leq (k-1) 2^{k-1} 2^{-2^k} < 2^k 2^{-2^{k-1}}$.
- Hence $|\Delta f / \Delta x| \geq 2^{-k} 2^{2^k} - 2^k 2^{2^{k-1}}$ which goes to infinity with k .

The proof that the present example has the required property is simpler than that for any other example the author has seen.



J. McCarthy 1927-2011

Conclusion

Boolean approximation allows very low-complexity approximations for nowhere-differentiable functions

Consider further restrictions?

- ▶ Circuits of constant depth $k \in \mathbb{N}$ for some small k (but [Siu, Roychowdhury 1992] give depth-2 threshold circuits for iterated addition)
- ▶ Boolean (threshold) formulas
- ▶ Monotone

Generally, can we obtain some form of Boolean approximation for analytic functions that admits an inverse theorem?

More generally: theory of Boolean approximation of continuous functions